

DOCKET NO. PD-170.02

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

In re Application of:

Coleman, R. Glen

FOCUSED ULTRASOUND ABLATION DEVICES HAVING SELECTIVELY ACTUATABLE ULTRASOUND EMITTING ELEMENTS AND METHODS OF USING THE SAME

Serial No.:

10/600,871

Filed:

06-20-2003

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8:** I hereby certify that this **INFORMATION DISCLOSURE STATEMENT** and the paper(s), as described herein, are being deposited in the U.S. Postal Service, as first class mail, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 11 day of September, 2003.

Signature

Barbara J. Lakanen

Printed Name

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Sir:

We are transmitting herewith the attached:

- ☒ Information Disclosure Statement Transmittal
- ☒ Information Disclosure Statement
- ☒ PTO FORM 1449
- ☒ Copies cited references
- ☒ Return Postcard

**FEE CALCULATION**

- ☐ \$ 00.00 Pursuant to 37 CFR §1.97(b)
- ☐ \$ 00.00 Pursuant to 37 CFR §1.97(c) with Certification
- ☐ \$ 00.00 Pursuant to 37 CFR §1.97(e) with Certification
- ☐ \$240.00 Pursuant to 37 CFR §1.97(c) without Certification
- ☐ \$130.00 Pursuant to 37 CFR §1.97(d) with Certification

- ☐ Applicant hereby petitions for a \_\_\_\_\_ months' extension of time. If an additional extension of time is required, please consider this petition therefor.
- ☒ Applicant believes that no extension of time is required. However, if an extension of time is required, please consider this a petition therefor to provide for the possibility that applicant has inadvertently overlooked the need for an extension of time.
- ☒ Please charge any additional fees or credits to Deposit Account No. 13-2546 which may have been overlooked on this Amendment Transmittal with regard to this filing. A duplicate of this transmittal is enclosed.

Date

9/10/2003

Daniel W. Latham

Reg. No. 30,401

Telephone: (763) 391-9661



27581



PATENT  
Docket: PD-170.02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant(s):	Coleman, R. Glen	)	Art Unit:
		)	
Serial No.:	10/600,871	)	Examiner:
		)	
Filed:	06-20-2003	)	
For:	FOCUSED ULTRASOUND ABLATION DEVICES HAVING SELECTIVELY ACTUATABLE ULTRASOUND EMITTING ELEMENTS AND METHODS OF USING THE SAME		

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


Dear Sir:

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with C.F.R. §§ 1.97 *et. seq.*, the materials enclosed herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application.

Consideration of each of the documents listed on the attached Form 1449 is respectfully requested. Pursuant to the provisions of M.P.E.P. §609, Applicant further requests that a copy of the Form 1449, marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

Respectfully submitted,

R. Glen Coleman  
By his Representatives,

By:   
Daniel W. Latham  
Attorney for Applicants  
Registration No. 30,401  
Telephone: (763) 391-9661



27581

**INFORMATION  
DISCLOSURE  
STATEMENT**

Atty. Docket No.: PD-170.02

Serial No.: 10/600,871

Applicant(s): Coleman, R. Glen

Filing Date: 06-20-2003

Group:

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
	5,762,066	06-09-1998	Law et al	128	660.03	
	4,508,122	04-02-1985	Gardineer et al	128	660	
	5,720,719	02-24-1998	Edwards et al	604	22	
	6,026,816	02-22-2000	McMillan et al	128	898	
	6,174,280	01-16-2001	Oneda et al	600	121	
	6,190,381	02-20-2001	Olsen et al	606	32	
	6,210,355	04-03-2001	Edwards et al	604	22	
	6,217,530	04-17-2001	Martin et al	601	2	
	6,241,753	06-05-2001	Knowlton	607	99	
	6,309,355	10-30-2001	Cain et al	600	439	
	6,325,769	12-04-2001	Klopotek	601	2	
	6,004,269	12-21-1999	Crowley et al	600	439	
	6,096,033	08-01-2000	Tu et al	606	31	
	6,135,971	10-24-2000	Hutchinson et al	601	3	
	5,800,482	09-01-1998	Pomeranz et al	607	101	
	5,247,935	09-28-1993	Cline et al	128	653.2	
	5,448,994	09-12-1995	Iinuma	128	660.03	
	6,126,619	10-03-2000	Peterson et al	601	2	
	4,562,900	01-07-1986	Anderson et al	181	176	
	6,451,013	09-17-2002	Bays et al	606	27	
	6,413,254	07-02-2002	Hissong et al	606	27	
	6,409,720	06-25-2002	Hissong et al	606	27	
	6,361,531	03-26-2002	Hissong	606	27	
	6,126,657	10-03-2000	Edwards et al	606	45	
	6,113,559	09-05-2000	Klopotek	601	3	
	6,206,831	03-27-2001	Suorsa et al	600	439	
	6,235,024	05-22-2001	Tu	606	41	
	6,508,765	01-21-2003	Suorsa et al	600	439	
	Re. 33,590	05-21-1991	Dory	128	660.03	
	3,990,452	11-09-1976	Murry et al	128	305	
	4,658,828	04-21-1987	Dory	128	660	
	4,807,633	02-28-1989	Fry	128	660.02	
	4,858,613	08-22-1989	Fry et al	128	660.03	

**EXAMINER****Date Considered**

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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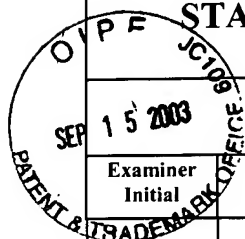
Group:

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
	4,917,096	04-17-1990	Englehart et al	128	660.1	
	4,951,653	08-28-1990	Fry et al	128	24	
	4,955,365	09-11-1990	Fry et al	128	24	
	5,033,456	07-23-1991	Pell et al	128	24	
	5,036,855	08-06-1991	Fry et al	128	660.03	
	5,054,470	10-08-1991	Fry et al	128	24	
	5,065,761	11-19-1991	Pell	128	660.03	
	5,080,101	01-14-1992	Dory	128	660.03	
	5,080,102	01-14-1992	Dory	128	660.03	
	5,117,832	06-02-1992	Sanghvi et al	128	662.03	
	5,134,988	08-04-1992	Pell et al	128	24	
	5,143,074	09-01-1992	Dory	128	660.03	
	5,150,711	09-29-1992	Dory	128	660.03	
	5,150,712	09-29-1992	Dory	128	660.03	
	5,158,070	10-27-1992	Dory	128	240	
	5,222,501	06-29-1993	Ideker et al	128	660.03	
	5,267,954	12-07-1993	Nita	604	22	
	5,269,291	12-14-1993	Carter	128	24	
	5,269,297	12-14-1993	Weng et al	128	24	
	5,431,621	07-11-1995	Dory	601	2	
	5,431,663	07-11-1995	Carter	606	128	
	5,447,509	09-05-1995	Mills et al	606	1	
	5,456,662	10-10-1995	Edwards et al	604	22	
	5,474,530	12-12-1995	Passafaro et al	604	22	
	5,492,126	02-20-1996	Hennige et al	128	660.03	
	5,501,655	03-26-1996	Rolt et al	601	3	
	5,590,657	01-07-1997	Cain et al	128	660.03	
	5,514,131	05-07-1996	Edwards et al	606	45	
	5,520,188	05-28-1996	Hennige et al	128	662.03	
	5,542,917	08-06-1996	Nita et al	604	22	
	5,620,479	04-15-1997	Diederich	607	97	
	5,624,439	04-29-1997	Edwards et al	606	45	
	5,295,484	03-22-1994	Marcus et al	128	660.03	
	5,304,115	04-19-1994	Pflueger et al	604	22	

**EXAMINER****Date Considered**

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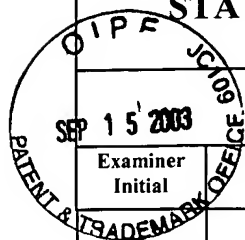
Group:

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
	5,312,328	05-17-1994	Nita et al	604	22	
	5,318,014	06-07-1994	Carter	601	2	
	5,342,292	08-30-1994	Nita et al	604	22	
	5,354,258	10-11-1994	Dory	601	3	
	5,380,274	01-10-1995	Nita	604	22	
	5,391,197	02-21-1995	Burdette et al	607	97	
	5,397,301	03-14-1995	Pflueger et al	604	22	
	5,409,002	04-25-1995	Pell	128	653.1	
	5,417,672	05-23-1995	Nita et al	604	283	
	5,423,812	06-13-1995	Ellman et al	606	45	
	5,676,692	10-14-1997	Sanghvi et al	607	97	
	5,707,349	01-13-1998	Edwards	604	22	
	5,718,702	02-17-1998	Edwards	606	41	
	5,728,094	03-17-1998	Edwards	606	41	
	5,730,719	03-24-1998	Edwards	604	22	
	5,733,315	03-31-1998	Burdette et al	607	97	
	5,735,280	04-07-1998	Sherman et al	128	600.03	
	5,738,114	04-14-1998	Edwards	128	898	
	5,743,870	04-28-1998	Edwards	604	22	
	5,743,904	04-28-1998	Edwards	606	32	
	5,746,224	05-05-1998	Edwards	128	898	
	5,762,066	06-09-1998	Law et al	128	660.03	
	5,800,379	09-01-1998	Edwards	604	22	
	5,800,429	09-01-1998	Edwards	606	41	
	5,807,308	09-15-1998	Edwards	604	22	
	5,817,049	10-06-1998	Edwards	604	22	
	5,823,197	10-20-1998	Edwards	128	898	
	5,827,277	10-27-1998	Edwards	606	41	
	5,843,077	12-01-1998	Edwards	606	41	
	5,871,524	02-16-1999	Knowlton	607	101	
	5,873,845	02-23-1999	Cline et al	601	3	
	5,873,902	02-23-1999	Sanghvi et al	607	96	
	5,879,349	03-09-1999	Edwards	606	45	

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